

# Neuro-cognitive processes as mediators of psychological treatment effects

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Psychological interventions are first-line treatments of depression. Despite a rich theoretical background, the mediators of treatment effects remain only partially understood: it has been difficult to precisely delineate the targets psychological interventions engage, and even more difficult to differentiate amongst the targets engaged by different psychological interventions. Here, we outline these issues and discuss a surprisingly understudied approach, namely the study of cognitive and computational tasks to measure psychological treatment targets. Such tasks benefit from substantial advances in cognitive neuroscience over the past two decades, and have excellent face validity. We discuss two candidate tasks for back-translation and conclude with a critical evaluation of potential problems associated with this neuro-cognitive approach.

## Addresses

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## Introduction

Depression is amongst the most burdensome illnesses worldwide [1]. A large treatment gap exists, both due to insufficient provision of treatment and due to limited efficacy of existing treatments [2]. There is an urgent

need for treatments that better engage the mechanisms causing the illness. Cognitive Behavioural Therapy (CBT), for instance, is a standard first-line treatment [3] with proven efficacy established in numerous randomized controlled trials [4]. However, it has been surprisingly difficult to establish the mechanisms by which psychotherapeutic treatments such as CBT work, and even more difficult to differentiate the mechanisms engaged by different forms of therapy. The latter resulted in a prominent claim that different psychotherapies, regardless of their specific ingredients, lead to similar outcomes ('Dodo bird effect') [5].

Part of this is likely to arise from the fact that different psychological interventions contain similar components, or component interventions [5]. Arguably, the lack of knowledge about which interventions affect which specific mechanisms is an important factor maintaining the treatment gap. First, it prevents precision treatment because it is not possible to measure the relevant mechanisms in order to allocate treatments individually. For instance, it limits the optimization of existing treatment manuals through focusing on elements of a therapy that are most likely to be effective for a specific individual patient. Second, it hinders the development of novel treatments which engage specific mechanisms more effectively.

CBT and other psychotherapeutic approaches were in part driven by the rich cognitive and learning theories of the middle of the 20th century. A huge amount of progress has been made since then in cognitive and computational neuroscience leading to a better understanding of the relevant learning and cognitive processes from a basic research point of view. Here, we consider whether these advances have potential to elucidate the functioning of existing interventions, and whether they could support the back-translation into novel psychotherapeutic modules.

## Mechanisms and mediators in psychotherapy research

The study of the mechanisms underlying the efficacy of psychological treatments has focused on the identification of mediators. A mediator is defined as a variable which is on the causal path linking the intervention with the treatment effect [6]. As an example, a popular idea posits that cognitive change, that is, change in dysfunctional

beliefs, accounts for improvement in depressive symptoms brought about by cognitive therapy [7]. In order to formally demonstrate that cognitive change is a mediator of cognitive therapy, a cognitive therapy needs to change the mediating variable ('dysfunctional beliefs') and reduce depressive symptoms. Moreover, the change in the mediator needs to precede, and to be proportional to, the reduction of symptoms. Excellent reviews have noted important limitations of the existing evidence on psychotherapy mechanisms and mediators, including inadequate control conditions, limited sample sizes, and a focus on differences between groups of individuals with and without a diagnosis [6,8]. Here, we describe limitations associated with the measurement and conceptualization of the mechanisms themselves.

First, despite their indisputably high value in clinical practice, there are difficulties around the use of self-report instruments to measure mechanisms. Consider treatments for anhedonia. Items assessing anhedonia in standardized questionnaires will often ask whether individuals were able to enjoy things they had previously enjoyed. This precise wording may have been used in the therapy session, with therapists encouraging individuals to identify sources of enjoyment, seek them out, and focus on the enjoyment. As such, self-report is likely to be affected by social desirability effects [9]. The repeated application of self-report questionnaires might even exert a psychoeducational effect [10]. Other systematic biases which might impact on the validity of self-reports are known, such as memory or recency effects in depression [11,12]. Furthermore, the measurement of self-reported symptoms and self-reported mediators might be conflated as items in both types of questionnaires can bear similarity. As such, self-report instruments such as questionnaires, and pre-post changes therein might function more as 'tests of knowledge' rather than indexing true cognitive or behavioural change [13].

Second, past mediator studies have tended to examine full therapeutic approaches (for example, comparing CBT, psychodynamic therapy and psychoanalysis [14]) and their relation to potential mediators. However, each full psychotherapy involves multiple component interventions (Figure 1a) and any individual is likely only to benefit from a subset of these interventions. For instance, in Figure 1b, the interventions specific to Therapy 1 are generally more effective, but some individuals respond better to the interventions in Therapy 2. The effect size when comparing two therapies is linear in the difference between the average response probability to individual components (Figure 1c), making large effects in the comparison very unlikely. The presence of shared interventions can confound differences, and even have a multiplicative effect on the ability to discover a difference in efficacy (Figure 1c; a version of the 'dodo bird' effect). If measurements of mechanisms allowed specific

interventions to be delivered only to sensitive individuals, the treatment effects would be improved.

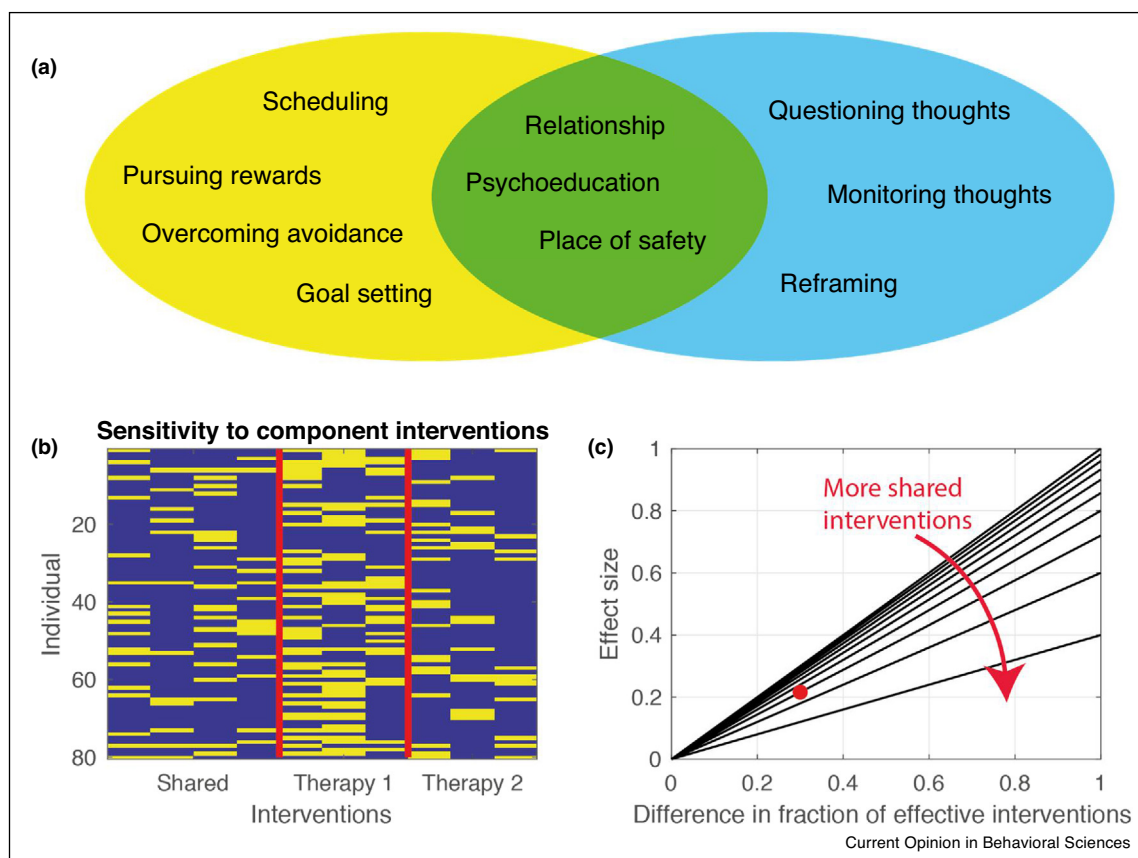
Third, and related to the previous point, mechanistic studies have at times examined mediators at a coarse scale. For example, there is evidence that dysfunctional cognitions measured with the Dysfunctional Attitude Scale (DAS [15]) are a mediator of cognitive therapy (see Refs. [8,13]). However, the DAS consists of various (i.e. 2–4) uncorrelated factors [16]. While this could in principle be addressed by using scales which have better internal consistency and load on a single factor, this approach is unlikely to ever work fully: after all, these processes interact in complex manners and do not just superimpose linearly.

### Refining mediators – neuro-computational mechanisms of psychotherapy components

One potentially useful approach to address these limitations is the integration of experimental paradigms from computational cognitive neuroscience research into psychotherapy research. These paradigms have been developed and validated as quantitative, objective measurements of underlying cognitive processes. Over the last two decades, these paradigms have often times been combined with measurements of brain function using fMRI, EEG or MEG, to dissect the neural correlates of these processes. In some cases, such tasks are derived from translational research, frequently offering even more detailed insight into the neuro-functional and neuro-pharmacological underpinnings of a cognitive process of interest. Specifically, the computational models employed to capture the underlying cognitive processes are inspired by our knowledge about how neurons implement and compute such processes. Hence, we termed them 'neuro-computational' mechanisms. When combined with computational modelling, they can disentangle complex interacting latent factors that jointly shape cognition, learning, generalization and affect. Some types of computational models ('generative models') allow for mechanistically interpretable (albeit not necessarily independently identifiable) computational parameters to be inferred (e.g. [17,18\*,19–21], see also Ref. [22]). There is recent evidence that such neuro-computational measures may provide estimates of hidden, disease-relevant processes that can usefully predict treatment trajectories [23\*,24\*,25,26].

Such neuro-computational measures are promising because they address several of the issues identified in the measurement of psychotherapy mechanisms identified above. First, psychotherapeutic interventions often explicitly target the kinds of behaviours and cognitions the computational-cognitive tasks are designed to assess — core point we will elaborate on below. Second, by relying on objective features of behaviour, neuro-computational probes circumvent some of the problems associated with self-reports outlined above: They are not as

Figure 1



(a) Different psychotherapeutic approaches (yellow: Behavioural Activation; blue: Cognitive Restructuring) contain both shared and specific interventions. (b) Individuals may respond or be sensitive to only a fraction of both the shared and specific components (yellow), while they may be insensitive and unresponsive to the other interventions. A therapy is better if individuals have a high probability of responding to some of its specific interventions. Here, the probability of responding to the three interventions specific to Therapy 1 is 0.5, while the probability of responding to either the shared or the Therapy 2 interventions is 0.2. (c) Simulations based on an effect size of 1 when comparing therapies to wait-list controls. The effect size for comparing two therapies is linear in the difference in response probability to each intervention, and the fraction of shared interventions can have a multiplicative effect on the ability to observe a difference. The red dot shows the example in panel (b). A precision psychotherapy approach would allow the delivery of only those interventions to which the individual is sensitive. This would increase the fraction of effective interventions while also reducing shared interventions, and hence overall increase the effect size.

strongly influenced by the subjective views and, hence, less susceptible to social desirability, nor do they show similarities to symptom ratings.

However, these methods have only rarely been applied to identify mediators of psychotherapy [27<sup>\*\*</sup>,28], and where this has been done, they have been deployed in pre-post designs examining complete psychotherapy packages. This shares the difficulties of comparing complete psychotherapies outlined above: Even if a neuro-computational measure is found to be altered by a psychotherapy, the presence of shared interventions impedes the assignment of this effect to a particular psychotherapy (Figure 1). Furthermore, the co-delivery of other interventions with partial efficacy will confound the association.

As a result, there has been minimal research attempting to relate specific interventions to specific underlying mechanisms. Recent advances allow behavioural task measures to be easily deployed online in repeated-measures longitudinal designs [29]. This allows for novel study designs where changes in specific underlying mechanisms are associated with specific interventions.

### Back-translating innovations of Cognitive Neuroscience into Psychotherapy mediator research

To illustrate the approach, we discuss two candidate neuro-computational probes involving confidence and reward-effort trade-offs in the treatment of depression.

### Confidence as a candidate mediator for cognitive therapy in depression

Patients with depression often show biases towards low confidence when judging their own accomplishments and abilities. ‘Feelings of worthlessness’ or ‘inappropriate guilt’ are amongst the DSM-V criteria for depression and under confidence when performing simple perceptual decision-making tasks is associated with depressive symptoms [18\*] and lower self-esteem [30]. Negative self-evaluation is also a key element of a prominent cognitive theory of depression [31]. CBT targets negative self-evaluation through Cognitive Restructuring (CR) [7]. This involves first identifying patients’ negative thought patterns and pessimistic assumptions about themselves, which includes the general feeling of low confidence in their abilities (e.g. “I am performing worse at work than my colleagues”, “I am not an interesting conversation partner”). CR trains individuals to notice the automatic thought patterns in day-to-day life, to question and deconstruct them (“What evidence is there that this thought isn’t accurate?”) and to replace them with more realistic thought patterns.

CR can be viewed as training of metacognitive bias and confidence. In cognitive neuroscience research, confidence and metacognitive bias have been extensively studied in tasks via repeated retrospective confidence judgements after making a decision (Figure 2a). Computational models can directly infer confidence levels from objective performance data, e.g. choices and response times [32]. Such computational measures of metacognition enable the implicit measurement of confidence. A recent theoretical framework [33,34] posits a two-way relationship between metacognitive ability in a domain and broader beliefs about self-ability. It suggests that confidence levels measured in specific domains, e.g. through tasks, could be a proxy for measures such as self-efficacy, or broader confidence judgements. Hence, the possibility that CR might influence negative self-evaluation by altering metacognitive processes can be tested by examining whether task-level metacognition mediates the impact of CR on self-evaluation. Metacognition as a mediator would thereby provide a link between symptoms of (and interventions to treat) depression and the neurobiology of metacognition. Importantly, the use of the task as a measure of the metacognitive mechanism might address some of the issues raised above.

### Reward- and effort-based decision-making as candidate mediators for behavioural activation

Patients with depression also show reduced engagement in rewarding activities [35]. The decision to engage in rewarding activities (e.g. going out, meeting friends) compared to ‘depressive’ behaviours (e.g. staying in bed) can be viewed as a trade-off between the anticipated reward and the anticipated effort for each

behaviour [23\*]. The reduction in rewarding activities seen in depression might hence result from decreased anticipated reward or from increased anticipated effort. Behavioural Activation (BA), a widely disseminated first-line therapy for depression [36,37] contains component interventions that aim to directly address these aspects: planning and the scheduling of rewarding activities. The aim of planning is to ensure activities are realistic and achievable, thereby reducing the probability that effort will be spent without achieving a goal. The aim of scheduling rewarding activities is to ensure rewards are experienced. The underlying assumption is that the experience of successful planning and rewarding activities re-establish reward and effort expectations. Computational accounts of learning from reinforcement [38] suggest that learning, that is, the impact of the outcomes, is driven by the differential between the actually received reinforcement and the expectation, e.g. for the rewards:

$$R_{ant}(t+1) = R_{ant}(t) + \alpha * (R_{exp}(t) - R_{ant}(t))$$

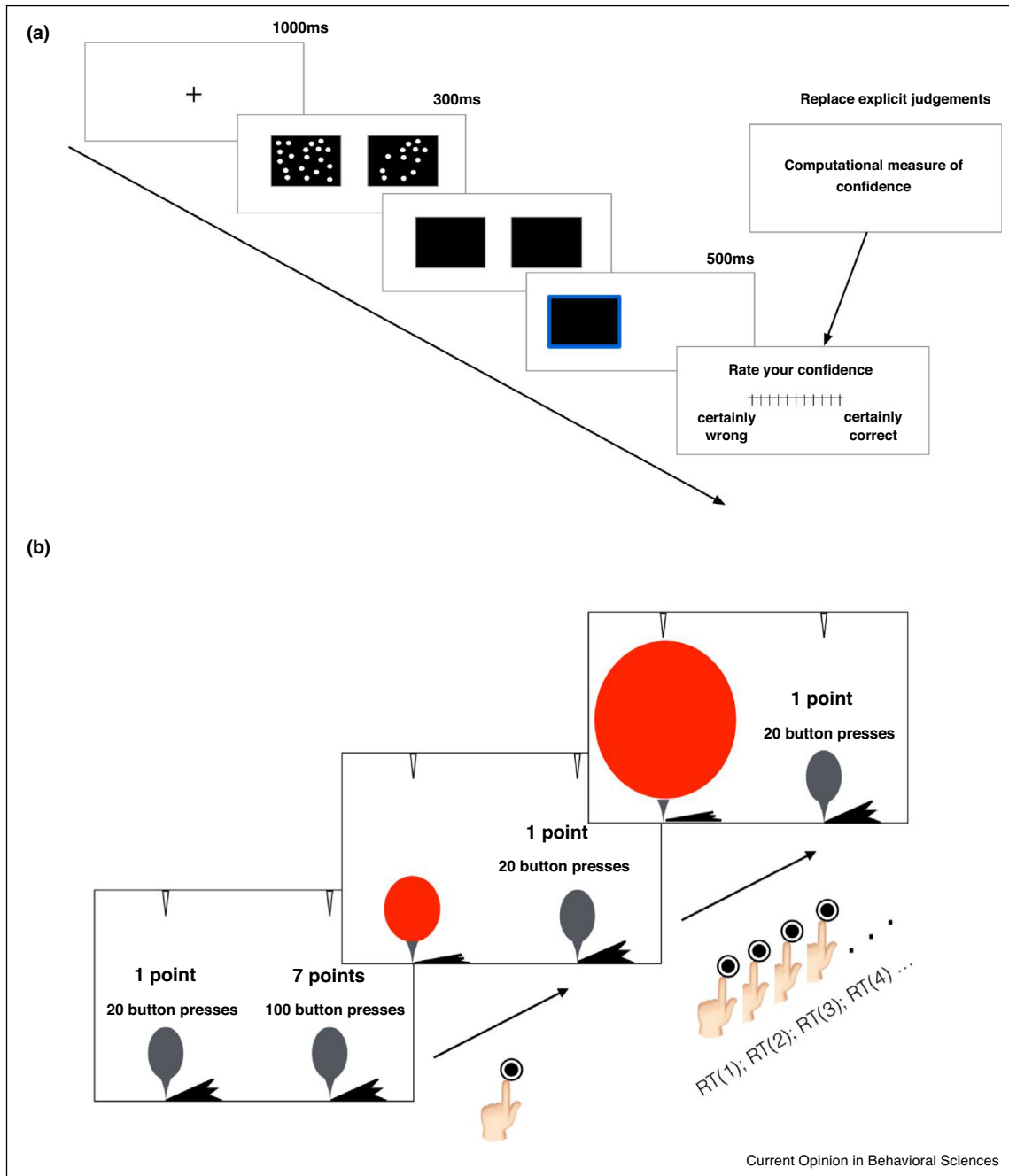
where  $t$  indexes a specific situation,  $R_{ant}(t+1)$  is the anticipated reward which will influence the decision to engage in an activity in the next situation,  $R_{exp}(t)$  is the experience of reward during execution of the planned activity and  $\alpha$  is a learning rate. Thus, a change in  $R_{ant}$  needs to occur for patients to engage more in an activity. Hence,  $R_{ant}$  might be a mediator of the effect of BA on the depressive symptom pattern. The model also indicates that for  $R_{ant}$  to be increased  $R_{exp}$  needs to be larger than  $R_{ant}$  and the learning rate  $\alpha$  needs to be larger than 0. Hence, both, the capacity to learn and the capacity to experience reward might be prerequisites for BA to work and moderators of its impact. The anticipation of effort can be updated according to the same update rule as the anticipation of reward.

There is a large literature on learning from rewards, and on the trade-off between rewards and efforts [39–42]. In one simple task, different amounts of effort yield different rewards [23\*,43] (Figure 2b). A generative computational model of behaviour in this task includes parameters indexing effort and reward sensitivity (implemented as a trade-off between the necessary effort and the resulting reward anticipation), the vigour used to execute the effortful behaviour and the reaction to the reward (experience). It also formalizes the influence of previous effortful behaviour and reward exposure on new choices as prediction errors [17,23\*,44]. Such probes should hence have value as measurements of the putative mechanisms underlying the component interventions of BA.

### Limitations

However, the use of neuro-computational measures in psychotherapy mediator research also faces several substantial challenges. Most importantly, cognitive tasks

Figure 2



**(a)** A simple perceptual decision-making task where participants are asked to judge which box contains a larger number of dots. Traditionally, to measure confidence, participants are asked to retrospectively give their confidence ratings. We suggest a framework for measuring individual confidence profiles via a computational measure that has been shown to robustly infer confidence from objective performance data (i.e. how long it takes subjects to respond, and how accurate they are). Note that the perceptual task can be replaced with a more engaging task, for example, a memory task where subjects are asked to memorize a set of objects. **(b)** Physical effort for reward task. On each trial participants need to decide between investing more effort (e.g. 100 button presses) for a higher reward (e.g. 7 points) or less effort (here 20 button presses) for a smaller reward (here 1 point). They need to indicate their choice with a button press. The choices relate to the anticipation of effort ( $E_{ant}$ ) and reward ( $R_{ant}$ ). These quantities can be estimated by means of a computational model. The chosen effort needs to be executed by means of button presses. The reaction times (RT) between those button presses can be used to compute the vigour, which relates to the experience of the effort ( $E_{exp}$ ) during the trial.

were recently found to be poor predictors of self-report measures, and of self-reported real-world outcomes in a study evaluating predictive power in the domain of self-control [45\*\*]. Given the importance of self-reported symptom change in motivating treatment seeking and efficacy, this is an important challenge. One potentially addressable reason might be poor psychometric properties of commonly used tasks, including poor test-retest validity [46,47]. These could potentially be addressed by enhancing the number of trials [48], by combining different behavioural read-outs (e.g. choices, reaction times) in a computational model [49], or by optimizing model estimation approaches [50,51]. Recent approaches have also combined self-report of subjective well-being or pain with objective measures (task behaviour, fMRI [52,53]).

## Conclusion

Neuro-computational measurements are promising mediators of specific psychotherapeutic interventions. They are objective and capture actual behaviour rather than subjective thoughts about behaviour, which is both a strength and a weakness. They profit from a rich neuro-scientific and computational underpinning, relating them to normative models of brain function and often allow for detailed quantitative studies of the underlying neural mechanisms. Being often derived from translational research they allow for precise hypotheses regarding the associations of cognitive processes with certain neurotransmitter systems — an important aspect when it comes to tailoring combined (pharmacological/psychological) treatment approaches. Nevertheless, substantial obstacles exist not only for using tasks in psychotherapy research, but also more broadly for measuring inter-individual differences in general [54\*]. Research should urgently focus on addressing these obstacles in order to allow for their potential as mediators of psychological interventions to be tested.

## Conflict of interest statement

Nothing declared.

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Papers of particular interest, published within the period of review, have been highlighted as:

- of special interest
- of outstanding interest

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patients invested less effort for reward than controls due to increased effort sensitivity. Patients who later relapsed after antidepressant discontinuation took longer to decide between high and low effort/reward options, which could be explained by a higher boundary in a drift-diffusion model in these patients. These higher decision times predicted relapse better than chance in a validation sample.

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Based on the example of measuring depression, this paper offers a thoughtful overview over common problems with measurement in psychological science and raises awareness on how they diminish the validity of study conclusions. The authors offer suggestions on how to circumvent measurement problems.